





IAI INSTITUTE ON URBANIZATION AND GLOBAL ENVIRONMENTAL CHANGE IN LATIN AMERICA

HANDS ON URBAN MODELLING

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OUTLINE



Introduction on Urban Models and Cellular Automata Some Examples of Urban Modelling Presentation of a Practical Experiment Advances and Obstacles in Urban Modelling

Final Considerations

INTRODUCTION

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Urban areas are bound to shelter the greatest part of the world's population

URBAN POPULATION (UNO, 1998)



Latin America and the Caribbean

Developed Countries

INTRODUCTION

 Urban areas are bound to shelter the greatest part of the world's population

Manage the flows of financial resources, man-made and natural assets, human capital, information, technical and scientific knowledge and decision power.

On a proper management of their financial, institutional and physical frameworks will the majority of mankind's achievements depend.

URBAN MODELS

 Non-dynamic models of urban land use change (Von Thünen, 1826; Weber, 1909; Christaller, 1933; Clark, 1951; Alonso, 1960; Lowry, 1964; Lakshmanan, 1964, 1968; Seidman, 1969).

Early dynamic models of urban land use change (Czamanski, 1965; Hill, 1965; Forrester, 1969; Paelinck, 1970; Batty, 1971, 1976 ; Allen et al., 1981; Beaumont et al., 1981; Wegener et al., 1986)

Spatially explicit dynamic models of urban land use change (Batty and Xie, 1997; Couclelis and Takeyama, 1997; Clarke et al., 1998; Papini et al., 1998; Portugali et al., 1999; White et al., 1998; O´Sullivan, 2001)

- CA are composed by four elements:
- ◆ Cells → different shapes and dimensions;
- ◆ State → discrete
- Neighborhood → different forms/ influence the states
- ◆ Transition rules → uniform and of local action



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Concept of cells (base of life)

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CA converted into urban space:

- Cells \longrightarrow urban tissue (100m x 100m)
- ♦ State → urban land uses
- Neighborhood according to the study purposes
- Transition rules → simple (logics, Boolean operators) or sophisticated (probabilistic models)



LAND USE CHANGE MODELLING - TIME SERIES



DINAMICA DATA MODEL



Source: Adapted from SOARES (1998).

PREDOMINANTLY DETERMINISTIC MODELS



PREDOMINANTLY DETERMINISTIC MODELS



Evolution of Urban Growth - San Francisco Bay Area (USA)

PREDOMINANTLY DETERMINISTIC MODELS

(Clarke et al., 1997)



Decisive factors for urban growth: topography, roads in 1920, roads in 1978.

STOCHASTIC MODELS WITH BOTH DETERMINISTIC AREA ESTIMATION AND TRANSITION ALGORITHMS

RIKS - Research Institute for Knowlege Systems, University of Maastricht, Holland (1999)

SIMLUCIA - Model with categories of urban land use, which incorporates regionalised variables.

http://www.riks.nl/projects/SimLucia


Global Transition Probabilities or Transition Rates (impacts on the system as a whole):

Regionalized Economic/Demographic Models, Markov Chain, Multivariate Regressions, Vensin/Stella, etc.

Local Transition Probabilities or Cells Probabilities (responses to the demands considering variables of local reach):

Weights of Evidence, Logistic Regression, Analytical Hierarchical Programming (AHP), Neural Networks, Decision Tree, etc.

RIKS - Research Institute for Knowledge Systems, University of Maastricht, Holland (1999)

Economic Performance Model

$$Y\Delta_{i,t} = \Delta p_t c_i \exp(n_i(u_t - u_{t=0})) + \Delta E_{i,t}$$

Cells Transition Probability

$$P_z = f(S_z) \cdot f(A_z) \cdot \sum_{d \in i} \sum_{i} (w_{z,y,d} \times I_{d,i}) + \xi_z$$





Transition Algorithm:

Ranking of Transition Probabilities:

Residential	Commercial	••• Industrial
c _i 0,975	c _t 0,984	<mark>c</mark> _u 0,901
0,968	0,981	0,897
0,879	0,803	0,796
•	•	•

• • •

Cells are ranked by their highest potential, and cells transition begin with the highest ranked cell and move downwards until the number of cells demanded by the Economic and Demographic Models are reached.

URBAN LAND USE DYNAMICS



What are the phenomena under analysis ?



What are driving forces of such phenomena ?

WEIGHTS OF EVIDENCE



LOGISTIC REGRESSION

• dependent variable



land use transitions

independent variable



infrastructure and socio--economic aspects

Transition Algorithm – Dinamica (http://www.csr.ufmg.br)

Allocation of possible cells for transition f(i,j);

 Selection of a random number (from 0 to 255), and comparison of it with the transition probability of the considered cell;



CHALLENGES TO MODELLING

- the variables available for modelling not always represent the set of necessary variables able to produce highly satisfactory results;
- the urban land use dynamics is in general subject to sudden and unforeseeable forces, unsuitable for modelling,e.g. the landlords' decisions to develop certain areas in disregard of others;
- "urbanisation booms" as it is the case of Bauru are often regarded as chaotic or highly complex systems, what render the current computational modelling technologies not best appropriate to cope with such phenomena.















MACRO STUDY AREA



SIMULATION PERIODS



1967-1979 1979-1988 1988-2000

1962-1985

1985-1999

STUDY AREA







City Plan: Bauru - 1988

STUDY AREA

Bauru: Evolution of Urban Growth

- Effectively Occupied Area
- Legalised Settlements



Source: SEPLAN-Bauru, 1996.



Bauru - 1979: Regional Development Pole - 305,753 inh., 2000







Northeastern Industrial Sector: Bauru - 1979



GENERALISATION: LAND USE MAPS

- delimitation of zones according to their predominant and effectively existent use;
- reclassification of similar zones to only one category, e.g. residential zones of different densities to residential only;
- adoption of eight basic categories of land use: residential, comm., instit, industrial, services, mixed zone, leis./recr.;
- exclusion of districts segregated from the main urban agglomeration(farther than 10 km from the urban boundary);
- desconsideration of the traffic network and minor nonoccupied areas .

CITY MAP RECONSTITUTION



of 1962 (LSN, 2003)

IMAGE TO VECTOR REGISTRATION



Bauru image and city plan of 2000 (LS-5 TM 221/75, Acquisition date: 06/07/00)

IMAGE TO VECTOR REGISTRATION





Bauru city plan and image of 2000 (LS-5 TM 221/75, Acquisition date: 06/07/00) Piracicaba city plan and image of 1999 (LS-5 TM 222/76, Acquisition date: 07/16/99)

STUDY AREA

179,823 inh.



Initial and Final Land Use Maps: Bauru - 1979 and 1988

Endogenous Variables:

- zoning and urban legislation;
- technical and social infrastructure;
- topography;
- protection/conservation areas;
- floodable plains; improper areas for urban occupation;
- socio-economic level and land use in the surroundings;
- real state market;
- jobs availability;
- proximity to quaternary centres (universities and research institutes);
- great polarising achievements, such as industrial parks, big malls, resorts, thematic parks; etc.

- Technical Infrastructure: the underlying or hard framework of a town, consisting of:
 - traffic and transport systems;
 - energy supply systems;
 - water supply and sewage disposal and treatment systems;
 - telecommunications (e.g. radio, TV, internet connections);
 - solid waste collection, disposal and treatment;
 - cemiteries; etc.

 Social Infrastructure: the soft framework necessary for the functioning of a town, such as:

- educational system;
- health care system;
- public institutions;
- religious institutions;
- security systems;
- commercial and services activities;
- sports facilities;
- leisure /entertainment and green areas systems;
- social care facilities (kindergartens, youth centres, retirement homes);
- cultural institutions (museums, libraries, cultural centres, etc.); etc.

• Exogenous Variables:

- Breakages in the general economic trends (economic or financial crises, energetic shortages, etc.);
- meteorologic disturbances which affect tillage or tourism activities;
- local or regional policies that may impact the expected performance of the different economic sectors; etc.

EXPLORATORY ANALYSIS



Water Supply



Distances to Railways



Occupation Density



Commerce - Kernel Est.



Social Equipments



Distances to Industries

EXPLORATORY ANALYSIS

Overlay of evidences maps on the final land use map boundaries


• Only valid if:



evidences are independent amongst themselves

or

independent variables are uncorrelated amongst themselves

- Weights of Evidence Method: Measures of Association between Pairs of Evidences Maps (Bonham-Carter, 1994)
 - Cramers Coefficient:

Deals with absolute values for overlap areas between pairs of evidences.

"Joint Information Uncertainty":

Deals with percentage values for overlap areas between pairs of evidences.



Evidence A

Evidence B



Study Area

Weights of Evidence Method (Bonham-Carter, 1994)

Cramers Coefficient:







Logistic Regression Method

Correlation Index:

$$\Lambda_{a,b} = 1/N \sum_{i=1}^{N} (x_a(i) - \overline{x_a(i)}) (x_b(i) - \overline{x_b(i)})$$



WE

LR

Variable A	Variable I	V _{A,I}	U _{A,I}	Λ _{Α,Ι}
water	serv_axes	0,3257	0,0767	-0,3060
	<u>conj_hab</u>	0.0460	0.0017	0.0530
mh_dens	vias_plan	0,2617	0,0701	-0,1600
	vias_perf	0,0201	0,0003	-0,0560
soc hous	vias_plan	0,1174	0,0188	-0,0760
	vias_perf	0,0480	0,0047	-0.0440
	dist_res	0,4129	0,3447	0,9050
com_kern	pol_res	0,1142	0,0310	0,1580
	clas_inst	0,1218	0,0520	-0,2030
	vias_exist	0,2685	0,1499	0,7670
	eixo_simp	0,2029	0,1099	0,7060
	vias_perf	0,0434	0,0064	0,4490
dist_ind	eixo_simp	0,1466	0,0477	0,5630
dist res	eixo_simp	0,2142	0,1002	0,7900
	clas_inst	0,1487	0,0559	0,7070
per_res	vias_exist	0,0592	0,0078	0,1860
	vias_perf	0,1733	0,0553	0,5380
dist inst	vias_exist	0,0601	0,0108	-0,1190
	vias_perf	0,0765	0,0238	0,2170
exist_rds	vias_perf	0,0239	0,0019	0,3090
plan_rds	vias_perf	0,0247	0,0029	0,0658

TRANSITION PROBABILITIES

Bauru: Land Use 79







Cross-Tabulation Map: 1979x1988



GLOBAL TRANSITION RATES

Global Transition Matrix:

	Non-Urban	Residential	Commercial	Industrial	Institutional	Services	Mixed Zone	Leis./Recr.
Non-Urban	0,91713	0,06975	0	0,00953	0	0,00358	0	0
Residential	0	0,93798	0	0	0	0,05975	0,00226	0
Commercial	0	0	1,00000	0	0	0	0	0
Industrial	0	0	0	1,00000	0	0	0	0
Institutional	0	0	0		1,00000	0	0	0
Services	0	0	0	0	0	1,00000	0	0
Mixed Zone	0	0	0	0	0	0	1,00000	0
Leis./Recr.	0	0	0	0	0	0	0	1,00000

BAYES THEOREM



Evidence: Water Supply, S = pres.

Event: Non-Urb_Residential Use, R

$$P\{R/S\} = \frac{P\{R \cap S\}}{P\{S\}}$$

$$P\{S/R\} = \frac{P\{S \cap R\}}{P\{R\}}$$

WEIGHTS OF EVIDENCE



WEIGHTS OF EVIDENCE



WEIGHTS OF EVIDENCE



TRANSITION PROBABILITIES

Table "Edit" and Map of Transition Non-Urban - Residential:





previously urbanised areas remain. non-urb.areas/change to other uses non-urban_residential

TRANSITION PROBABILITIES - WE

Partial Cross-Tabulation ("Ermatt") and Numerical Output Table:









TRANSITION PROBABILITIES - WE

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• Conversion of Maps of Dependent and Independent Variables into Numerical Grids:



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TRANSITION PROBABILITIES - LR

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Conversion to numerical columns

TRANSITION PROBABILITIES - WE

 \blacklozenge

Weights of Evidence:





Natural logarithm of the ratio between the probability of occurring the event R and the probability of not occurring R, in face of the previous occurrence of evidences S_1 - S_n .

TRANSITION PROBABILITIES



Weights of Evidence (Dinamica - CSR/UFMG):



 $W^+ = \log_e P \{S/R\}$ $P \{S/R\}$

S = Water Supply (Evidence) R = Non-Urb._Resid. (Event)



Similarity with the logistic function, where the linear regression equation has been replaced by the cumulative sum of W+.

TRANSITION PROBABILITIES - LR

Vector of

Variables

Х,У

 Logistic Regression: Applicable in the cases where the dependent variable are dichotomous or binary (0 or 1).

$$\gamma_{i,j} \cdot V_{x,y}$$

$$P(i,j)(x,y) = \underbrace{e}_{t} \gamma_{i,j} \cdot V_{x,y}$$

$$1 + \sum_{k=1}^{t} e \gamma_{i,j} \cdot V_{x,y}$$
Vector of Coefficients
$$\gamma_{i,j} = [a_1 \ b_1 \ c_1]$$

TRANSITION PROBABILITIES - LR

• Bauru: 1979-1988

Variables	Nu_R	es	Nu_In	d	Nu_Se	ərv	Res_S	Serv	Res_Mix		
	B_k	Р									
Constant B_0	7,646900	0,000	5,274530	0,000	4,865300	0,000	-1,551900	0,000	3,901200	0,000	
water	#	#	#	#	#	#	1,708810	0,000	#	#	
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soc_hous	#	#	#	#	#	#	#	#	-1,068800	0,000	
com_kern	-0,924990	0,000	#	#	-1,461660	0,000	#	#	#	#	
dist_ind		#	-1,048320	0,000	#	#	#	#	#	#	
dist_res	#	#	#	#	0,027680	0,442	#	#	#	#	
per_res	-0,392090	0,000	#	#	#	#	#	#	#	#	
dist_inst	-0,405525	0,000	#	#	#	#	#	#	#	#	
exist_rds	0,051476	0,000	#	#	#	#	#	#	#	#	
serv_axes	#	#	-0,741110	0,000	-0,974470	0,000	-0,929550	0,000	#	#	
plan_rds	#	#	#	#	#	#	#	#	-1,865200	0,000	
per_rds	-0,309469	#	#	#	#	#	#	#	-0,521040	0,000	

Hosmer & Lemeshow, 1989:

"... we must not base our models entirely on tests of statistical significance ... there are numerous other considerations that will influence our decision to include or exclude variables from a model."

MODEL CALIBRATION

• Empirical procedure: scatter plots analysis



• Boxplots Analyses:



nu_ind x dist_ind

1

nu_ind

res_mix x soc_hous

• *Couclelis*, 1997:

"To take full advantage of CA models as qualitative forecasting tools, planners and others need to rely as much on their right-brain powers of pattern recognition and relationship perception as on left-brain analyses of the inevitably inaccurate quantitative outputs."





Map of Probabilities

Map of Transition 79-88: nu_ind





Map of Probabilities

Map of Transition 79-88: nu_serv





Map of Probabilities

Map of Transition 79-88: nu_res





Map of Probabilities

Map of Transition 79-88: res_serv





Map of Probabilities

Map of Transition 79-88: res_mix

MODEL CALIBRATION

Empirical procedure: visual comparative analysis



MODEL CALIBRATION

Final Sets of Evidence Maps for Each Type of Land Use Change:



EVIDENCES MAPS: NU_RES



Distances to Periph. Residential Settlements



Distances to Ranges of Commercial Clusters



Distances to Periph. Institutional Equipments



Distances to Main Roads



Distances to Peripheral Roads

EVIDENCES MAPS: NU_RES



EVIDENCES MAPS: NU_IND



Distances to Industrial Use

Distances to the Services Axes



EVIDENCES MAPS: NU_IND



 nearness to previously existent industrial use

availability of road access
EVIDENCES MAPS: NU_SERV



Distances to Residential Use



Distances to the Services Axes



Distances to Ranges of Commercial Clusters

EVIDENCES MAPS: NU_SERV.



EVIDENCES MAPS: RES_SERV



Water Supply

Distances to the Services Axes



EVIDENCES MAPS: RES_SERV.



EVIDENCES MAPS: RES_MIX



Occurrence of Medium-High Density



Distances to Peripheral Roads



Distances to Planned Roads



Existence of Social Housing

EVIDENCES MAPS: RES_MIX





mixed zones play the role of urban subcenters

STRENGTHENING OF FORMER SECONDARY COMMERCIAL CENTRES

- existence of a greater occupational gathering

IMPLIES ECONOMIC SUSTAINABILITY

nearness to planned or peripheral roads

ACCESSIBILITY IN FARTHER AREAS

EVIDENCES MAPS: CONCLUSIONS

•

economic theories of urban growth and change (Black and Henderson, 1999; Medda et al., 1999; Papageorgiou and Pines, 2001; Zhou and Vertinsky, 2001), where there is a continuous search for optimal location, able to assure:

- competitive real state prices,
- good accessibility conditions,
- rationalization of transportation costs

Land use transitions show to comply with

 and a strategic location in relation to suppliers and consumers markets.

MODEL CALIBRATION.

Dinamica - Final Parameters:

Transitions	Average Size of Patches	Variance of Patches	Proportion of "Expander" ▶	Proportion of "Patcher"	Number of Iterations			
nu_res	1100	500	0,65	0,35	5			
nu_ind	320	1	1,00	0	5			
nu_serv	25	2	0,50	0,50	5			
res_serv	25	2	0,10	0,90	5			
res_mix	35	2	0	1,00	5			

TRANSITION ALGORITHMS







transitions from "i" to "j ",



only in the adjacent vicinities of cells with state "j ".

"EXPANDER" ALGORITHM



Initial Land Use Map

Initial Allocation of Possible Cells for Transition (10x calculated)

Transition from "i" to "j"



Identification of Frontier Cells of Class "j"







RN < Prob: keep the cell</td>RN > Prob: discard the cell

RN < Prob: change RN > Prob: do not change

TRANSITION ALGORITHMS







transitions from "i" to "j ",



only in the adjacent vicinities of cells with a state other than "j ".

"PATCHER" ALGORITHM



Initial Allocation of Possible Cells for Transition Initial Land Use Map

Transition from "i" to "j"





Identification of Cells with Neighbours other than "j"

RN < Prob: change RN > Prob: do not change

SIMULATION OUTPUTS - WE



Reality - Bauru Land Use in 1988



SIMULATION OUTPUTS - WE



SIMULATION OUTPUTS - LR



Reality - Bauru in 1988



SIMULATION OUTPUTS - LR



COMMENTS ON SIMULATIONS

- the services corridors, the industrial area and the mixed use zone were well modelled in all of the three simulations;
- non-urban areas to residential use: the most challenging category for modelling, due to the fact that:
 - boundaries of detached residential settlements are highly unstable factors (merging/split of plots);
 - exact areas for their occurrence is imprecise (landlords' and entrepreneur's decisions);
 - 65% of this type of change is carried out through the expander, in which, after a random selection of a seed cell, its neighbouring cells start to undergo transitions regardless of their transition probability values.

MODEL VALIDATION

SCENE 2

Multiple Resolution Procedure or "Goodness of Fit" (Constanza, 1989)

SCENE 1

				RE,	AL									SII	NUL	AT	101	V		
										1 x 1 WINDOW										
										F = 1										
1	1	1	1	2	2	2	3	3	3		1	1	2	2	2	2	2	2	3	3
1	1	1	2	2	2	3	3	3	3	2 x 2 WINDOW	1	1	1	1	2	3	3	3	3	3
1	1	2	2	2	3	3	3	3	3	F = 1 - 4/8 = 0.50	1	1	1	2	3	3	3	3	3	3
3	3	2	2	3	3	3	3	3	3	1 = 1 = 4/0 = 0.00	3	1	2	2	3	3	3	4	4	4
1	3	3	3	3	3	3	3	3	3		3	3	3	3	3	3	3	3	3	3
1	1	1	3	3	3	3	3	3	3	3 x 3 WINDOW	1	1	1	3	3	3	3	3	3	3
2	2	2	2	2	2	2	2	3	3	E - 1 - 6/18 - 0.66	1	1	2	2	2	2	2	2	3	3
3	3	3	3	3	3	3	3	3	3	T = T - 0, T = 0.00	1	2	2	3	3	2	2	3	3	3
3	3	3	3	2	2	3	3	3	3		3	3	3	3	2	2	2	3	3	3
3	3	3	3	2	2	2	2	3	3		3	3	3	3	2	2	2	2	3	3

MODEL VALIDATION

Multiple Resolution Procedure or "Goodness of Fit" (Constanza, 1989)



GOODNESS OF FIT

Multiple Resolution
Procedure:

$$F_{w} = \frac{t_{w}}{\sum_{s=1}^{t_{w}} \left[\begin{array}{c} p \\ 1 - \sum_{i=1}^{p} \\ 2 \end{array} \right]} \frac{|a_{i1} - a_{i2}|}{2 w^{2}}$$

$$F_{t} = \frac{\sum_{w=1}^{n} F_{w} e^{-k(w-1)}}{\sum_{w=1}^{n} e^{-k(w-1)}}$$

MODEL VALIDATION - W.E.

Results for Windows 3 x 3, 5 x 5 and 9 x 9:

Simulations	Goodness of Fit (F)
S 1	F = 0.902937
S 2	F = 0.896092
S 3	F = 0.901134

MODEL VALIDATION - L.R.

Results for Windows 3 x 3, 5 x 5 and 9 x 9:

Simulations	Goodness of Fit (F)
S 1	F = 0.905172
S 2	F = 0.907539
S 3	F = 0.907868

ANNUAL TRANSITION RATES

Annual Transition Matrix - Principal Components Method (Bell & Hinoja, 1977):





1967









1971



1972











1977





1979












































MARKOV CHAIN



Markov Chain: Defines percentages for each land use in future times

LINEAR REGRESSION MODELS

Y = Economic or Demographic Data



SHORT-TERM FORECAST SCENARIOS - 2004



Reality in 2000

Optimistic

MEDIUM-TERM FORECAST SCENARIOS - 2007



Optimistic

Reality in

LIMITATIONS IN MODELLING

- urban models still work upon basis of generalization procedures and cannot cope with detailed land use information;
- they depend on regular and reliable (coherent with reality) land use data, and local governments do not frequently issue land use maps;
- they depend on land use as well as technical and social infrastructure data throughout long time series, what is not always available in developing countries cities, especially in older decades.

ADVANTAGES & ADVANCES IN MODELLING

- improvements in the computational processing capacity in recent times have rendered possible the adoption of finer spatial resolutions as well as larger study areas;
- incorporation of new methods for the weighting of variables (neural networks, multicriteria evaluation, decision tree, etc.), of new techniques for automatic calibration (genetic algorithms) and for automatic distances assessment in the variables maps;
- urban models provide a sinoptic overview of land use change trends throughout time;
- the behavior of social actors and stakeholders in the urban environment is revealed by means of the implicit findings extracted from the variables driving land use change.

CONCLUDING COMMENTS

- weights of evidence and logistic regression provide similar outputs, but preference should be given to the WE, for its transparency and operational simplicity;
- non-urban areas to residential use: the most challenging category for modelling, due to the fact that their boundaries are highly unstable factors and their occurrence is imprecise (landlords´ and enterpreneurs´ decisions);
- *"expander" disregards cells probabilities values in its propagation;*
- possibility of defining sizes/variances separately for each algorithm;
- possibility of inserting fractal parameters in the transition algorithms;

CONCLUDING COMMENTS

- in the yearly simulation outputs, the input variables should be yearly updated as well whenever possible;
- the stationary scenarios overestimate current trends of land use change, since they have been parameterized upon basis of urban growth rates experienced in the late 1980s and 1990s;
- optimistic and pessimist scenarios based on linear regression models ought to employ time series analysis for the independent variables estimation;
- the acknowledged urban growth steady decline (Prud'Homme, 1989) will lead to a shift of concern in urban CA modeling, which will tend to focus on more subtle transformations, like density increases and vertical growth.

FINAL CONSIDERATIONS

Applicability of Land Use Dynamics Models:

- Local and Regional Planning Authorities: identify urban expansion vectors and their vocations; order and redirect urban growth.
- Sublocal Public Administrators: establish goals for investiments in technical and social infrastructure.
- Private Decision-Makers: provision of subsidies for the definition of priorities as to where and how intense to invest.
- Organised Civil Society: support to social movements demanding for social equipments or technical infrastructure implementation.







IAI INSTITUTE ON URBANIZATION AND GLOBAL ENVIRONMENTAL CHANGE IN LATIN AMERICA

HANDS ON URBAN MODELLING

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